

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

IN RE BANK OF NEW YORK MELLON CORP. : No. 12-MD-2335 (LAK) (JLC)
FOREX TRANSACTIONS LITIGATION :

STATE OF NEW YORK)
) ss.:
COUNTY OF NASSAU)

**SUPPLEMENTAL AFFIDAVIT OF STEPHEN J. CIRAMI
REGARDING REQUESTS FOR EXCLUSION RECEIVED**

Stephen J. Cirami, being duly sworn, deposes and says:

1. I am the Senior Vice President of Operations for Garden City Group, LLC (“GCG”), headquartered at 1985 Marcus Avenue, Suite 200, Lake Success, New York 11042. Pursuant to the Court’s April 22, 2015 Order (1) Provisionally Certifying the Settlement Class; (2) Appointing Lead Plaintiffs as Settlement Class Representatives, and Appointing Lead Settlement Counsel as Class Counsel; (3) Approving the Proposed Form and Manner of Notice; and (4) Scheduling a Final Approval Hearing (the “Notice Order”) (ECF No. 591), GCG was retained as the Claims Administrator in connection with the settlement of this action.¹


2. The purpose of this Supplemental Affidavit is to report on any additional requests for exclusion from the Settlement Class that were received following the execution of my prior affidavit entitled “Affidavit of Stephen J. Cirami Regarding (A) Mailing of the Notice; (B) Publication of the Summary Notice; and (C) Report on Requests for Exclusion Received to Date” on August 14, 2015 (ECF No. 622-15) (the “Mailing Affidavit”). The following

¹ All terms with initial capitalization not otherwise defined herein shall have meanings ascribed to them in the Stipulation and Agreement of Settlement (the “Settlement Agreement”) dated March 19, 2015 (ECF No. 583-1).

statements are based on my personal knowledge and information provided by other GCG employees.

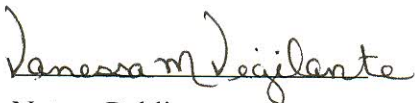
EXCLUSION REQUESTS

3. The Notice informed Settlement Class Members that requests for exclusion must be received by GCG no later than August 17, 2015. In the Mailing Affidavit, I previously reported that GCG received two requests for exclusion from Settlement Class Members. Since then, GCG has received one additional request for exclusion. Additionally, one of the excluding entities listed in the Mailing Affidavit has rescinded its request for exclusion. Attached as Exhibit A is a report listing the two remaining requests for exclusion. Of those two requests for exclusion, one (by TCW Funds, Inc. ("TCW")) has been made on behalf of only a select number of accounts (now closed or dissolved) that TCW once managed. TCW is not seeking to exclude all of its accounts from the Settlement Class.



Stephen J. Cirami

Sworn to before me this
14th day of September, 2015



Notary Public

VANESSA M VIGILANTE
Notary Public, State of New York
No. 01VI6143817
Qualified in Nassau County
Commission Expires April 17, 2018

EXHIBIT A

Exclusion No.	Name	City, State
1	Bridgewater & Associates Inc.	Westport, Connecticut
2	TCW Funds, Inc.	Los Angeles, California